# **APPLICATION**

## **FOR**

# UNITED STATES LETTERS PATENT

TITLE:

METHOD OF USING SECRETIN AND COMPOSITIONS

MADE THEREFROM FOR THE TREATMENT OF AUTISM

AND OTHER NEUROLOGICAL, BEHAVIORAL AND

IMMUNOLOGICAL DISORDERS

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Docket No.:

Treatment Of Autistic Syndromes.

METHOD OF USING SECRETIN AND COMPOSITIONS MADE THEREFROM FOR THE TREATMENT OF AUTISM AND OTHER NEUROLOGICAL, BEHAVIORAL AND IMMUNOLOGICAL DISORDERS

#### RELATED APPLICATION

This application claims the benefit of U.S. Provisional - 2 Application Serial No. 60/088,575 filed June 9, 1998, fully 3 incorporated herein by reference, and is a continuation-in-part of 4 United States patent Application No. 09/080,631 filed May 18, 1998 5 and entitled Method For Assisting in Differential Diagnosis And 6

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#### FIELD OF THE INVENTION

The present invention relates to methods and compositions for the treatment of neurological, behavioral and/or immunological disorders and more particularly, to a new medical use for the natural or synthetic hormone secretin in the treatment of autism and other neurological, behavioral and/or immunological disorders.

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## BACKGROUND OF THE INVENTION

Autism is a disabling neurological disorder that affects 17 thousands of Americans and encompasses a number of subtypes, with 18 documented ameliorative few and putative causes 19 various treatments. The disorders of the autistic spectrum may be present 20 at birth, or may have later onset, for example, at ages two or 21 There are no clear cut biological markers for autism. 22 Diagnosis of the disorder is made by considering the degree to 1 which the child matches the behavioral ndrome, which is

characterized by poor communicative abilities, peculiarities in

3 social and cognitive capacities, and maladaptive behavioral

4 patterns.

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A number of different treatments for autism have been 5 developed. Many of the treatments, however, address the symptoms 6 of the disease, rather than the causes. For example, therapies 7 ranging from psychoanalysis to psychopharmacology have been 8 Although some clinical employed in the treatment of autism. 9 symptoms may be lessened by these treatments, modest improvement, 10 at best, has been demonstrated in a minor fraction of the cases. 11 Only a small percentage of autistic persons become able to **G**2

function as self-sufficient adults.

the causes Although much controversy exists about treatments of autism, a few established biomedical findings have Many individuals with autism experience intestinal difficulties, often including the inability to digest gluten and casein. Abnormalities have also been found in the metabolism of the neurotransmitter serotonin and in various parameters of immune system functions, for example, elevated Measles, Mumps and Rubella. (MMR) titers. Prior to the discovery of the present invention, however, no useful links had been made between these biomedical successful treatments been findings, nor had any therefrom, as disclosed in various articles incorporated herein by reference.1

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Priven, J. (1997). The biological basis of autism. <u>Current Opinion in Neurobiology</u>, 7, 708-712.

Rapin, L. & Katzman, R. (1998). Neurobiology of autism. <u>Ann Neurology, 43,</u> 7-14.

Similar to a stic spectrum disorder, v other behavioral, 1 been and immunological disorders have equally 2 neurological difficult to understand and to effectively treat. Such disorders 3 include depression, obsessive-compulsive disorder, Alzheimer's, 4 allergies, anorexia, schizophrenia, as well as other neurological 5 conditions resulting from improper modulation of neurotransmitter 6 levels or improper modulation of immune system functions, as well 7 as behavioral disorders such as ADD (Attention Deficit Disorder) 8 and ADHD (Attention Deficit Hyperactivity Disorder), for example. 9 Accordingly, a need exists for a method and composition for the 10 treatment of autism and other behavioral, neurological and/or 11 []12 immunological disorders. ...

The hormone secretin is a polypeptide hormone secreted by the mucosa of the duodenum and upper jejunum when acid chyme enters the intestine. The hormone secretin stimulates the pancreatic acinar cells to release bicarbonate and water, which are excreted into the duodenum and change the pH in the gut from acid to alkaline, thereby facilitating the action of digestive enzymes. Secretin is always used and indeed is intended only to be used in diagnostic tests given to patients with gastrointestinal disorders to stimulate the release of pancreatic juices for testing purposes.

Prior to the discovery of the present invention. 23 secretin has never before been linked to autistic 24 disorders, either as a possible cause or treatment, nor has it 25 neurological and/or 26 been used in the treatment of other

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Wing, L. (1997). The autistic spectrum. The Lancet, 350 (dec. 13), 1761-1765.

immunological dis ers, as herein proposed.

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# SUMMARY OF THE INVENTION

The present invention features a method for the treatment of 4 neurological, immunological and other disorders in a patient. 5 The method comprises the step of stimulating the secretion of 6 pancreatic juices in the patient. In one embodiment, stimulating 7 secretion of pancreatic juices comprises the step of 8 administering to the patient an effective amount of natural or 9 The preferred method of the present synthetic secretin. 10 invention is for the treatment of autistic spectrum disorder.

According to one method of administering secretin, secretin is administered by infusion and the effective amount is generally 2 clinical units (CU) per kilogram (kg) of body weight given intravenously within 1 minute. In another method, the secretin is administered transdermally by applying a transdermal carrier substance, such as dimethyl sulfoxide (DMSO) to the skin, applying crystalline secretin in an effective amount onto the carrier substance, and rubbing the composition into the skin. One example of an effective amount of secretin administered.

Other methods of administering secretin include, but are not 22 limited to, administering secretin transdermally with a gel, 23 lotion or patch; administering secretin with a suppository; 24 administrating secretin orally, as tablet, capsule or lozenge; 25 administrating secretin by inhalation (e.g., as an aerosol) 26 either through the mouth or the nose; and administering secretin 27 using acoustic waves to permeate the skin. The present invention 28

transdermally includes about 15 CU of crystalline secretin.

1 also contemplates other physiologically acceptable carriers or

2 excipients for carrying an effective amount of secretin into the

3 patient's body.

In another embodiment, the method for stimulating the 4 secretion of pancreatic juices comprises the step of causing the 5 body to secrete secretin in an effective amount to at least 6 ameliorate and preferably treat autism and other neurological 7 and/or immunological disorders. This method includes, 8 example, stimulating or otherwise causing the duodenum and upper 9 jejunum to secrete the hormone secretin for one or more of the 10

purposes described herein.

The present invention also features compositions for use above methods. In one embodiment, according to the pharmaceutical composition, according to the present invention includes an effective amount of secretin together with a suitable volume of sodium chloride for dissolving the secretin and carrying the secretin into the body by infusion. In another embodiment, a composition according to the present invention includes an effective amount of secretin and a transdermal carrier substance, such as DMSO for carrying the secretin into the body transdermally. Other compositions include an effective amount of secretin together with physiologically acceptable carriers or excipients for carrying the secretin into the The present invention contemplates the use of patient's body. both natural and synthetically produced secretin.

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## DETAILED DESCRIPTION OF THE PREFERRED EMBODIMENT

The present invention will be better understood from the

- 1 following examples which are given by way of illustration and not
- 2 by way of limitation. The patient, the same in both examples, is
- 3 a boy with symptoms of autism. Although only two examples of
- 4 treatment are presented on the same patient, the present invention
- 5 has been tried on a number of children in accordance with the
- 6 method of the first example with similar satisfactory results.
- 7 The patient in the present examples developed normally until
- 8 about fourteen months of age, with the exception of
- 9 gastrointestinal problems (i.e., chronic diarrhea and
- 10 constipation) which began at about six months. At about thirteen
- 11 months, when whole milk was introduced into his diet, the patient
- 12 began having reoccurring ear infections. At about fourteen
- months, the patient appeared to lose the ability to process
- language, first receptively (at about 14 months) then expressively
  - 15 (at about 16 months). The patient also experienced episodes of
- 16 "shivers" that appeared to be intermittent seizures.
  - After consulting with numerous neurologists, pediatricians,
- child development specialists, audiologists, endocrinologists,
- 19 allergists, and other medical professionals, no consistent
  - 20 diagnosis had been reached. Although not clinically diagnosed
  - 21 with autism, the patient exhibits a number of behavioral symptoms
  - 22 of autism and pervasive developmental disorder (PDD) in general.
  - 23 The term autism is used herein for reference purposes only, and
  - 24 this invention is intended to apply to any number of pervasive
  - 25 developmental disorders as well as neurological and immunological
  - 26 disorders.
  - 27 Prior to receiving the treatment with secretin, a single
  - 28 photon emission computed tomography (SPECT) scan of the brain

revealed a decreased perfusion in the right hemisphere and left 1 temporal lobe, with the most severe decrease in the right parietal 2 Also, steady state auditory evoked responses 3 occipital region. recorded in response to rapid amplitude and frequency modulations 4 of a 1 kHz tone were abnormal, suggesting disturbances of neural 5 mechanisms responsible for frequency and amplitude modulation - 6 7 Further, the patient's secretin cells prior receiving treatment, measured at a level of 9, are far below the 8 9 normal limit in the range of 20-70.

### 10 <u>EXAMPLE 1</u>

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When the patient was three years old, the secretin was infusion as part of administered by way of an an gastrointestinal endoscopy. The secretin was used in this diagnostic procedure at the request of the patient's parents, one of which is an inventor of the present invention. The secretin used in this procedure is known as Secretin-Ferring available from Ferring Laboratories, Inc., Suffern, New York (See Appendix A). The secretin was dissolved in a 7.5 solution of sodium chloride and administered in a dosage of 2 clinical units (CU) per kilogram (kg) body weight by intravenous injection over one minute. (I.E. 30 IU IV for approximately 15 kilograms of body weight.)

Immediately after the administration of the secretin, the diagnostic testing revealed that the patient's pancreas responded, quite surprisingly, with an unusually large amount of pancreatic juice being released (approximately 10 ml/min compared to a usual rate of 1-2 ml/min). The diagnostic tests performed on the patient during this procedure also indicated gastric inflammation. Within days after the administration of secretin, the patient's

- 1 chronic abnormal bowel movements became normal, although no
- 2 changes had been made in the patient's diet. Within weeks after
- 3 the treatment, the patient was able to make normal eye contact,
- 4 language appeared for the first time in two years, and other
- 5 behavioral and developmental problems improved remarkably. The
- 6 following Table I summarizes the improvements observed in the
- 7 patient within 3 weeks after the infusion of secretin.

Table I

Symptoms Before Secretin Infusion	Progress within 3 Weeks
	After the Secretin Infusion
Two words	100's of words - will repeat some
I wo words	approximation of any work requested.
	approximation of any work requested.
No sentences	Short sentences - such as; "I love you", "I
	want juice", "Good night mommy", "Thank you,
	daddy".
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No flash cards	40 - 50 flash cards.
NO TIASH CAIDS	140 30 11ush curus.
	Till old on the constilled thill nowform
No focus on requested tasks	Will sit and watch carefully. Will perform
	most tasks after watching once or twice. For
	instance, will sort by color or category.
	Will construct more complicated puzzles.
	Will respond appropriately to questions.
Diapers only	Completely potty trained.
brapers only	completely poetly claimed.
Watah Widaa	Now, gets "involved" interactively with his
Watch Videos	
	videos. He will imitate the hand motions,
	sing the songs or dance to the music.
Consistent sleeping problems.	Has slept through almost every night
Although these were much worse	entirely.
when he was 18-24 months, prior to	
the procedure he was still up	•
numerous times each night.	
Infrequent (1-2 times/week)	No spinning episodes.
"spinning" episodes.	
Abnormal bowel movements	Normal bowel movements.
Excessive water.consumption	Excessive water consumption - no change
approximately 50 cups per day.	approximately 50 cups per day.
approximatery so cups her day.	white and an enter har wal.
Timinal Diah Danésana (Danasah	No Change
Limited Diet Preferences (French	No Change
Toast, bananas, French Fries,	
pancakes, crackers, cookies,	
raisins, chocolate, chicken	
nuggets.)	
No apparent connections made	Many connections made between new language
	learned and objects. Recites names he has
between language and objects.	learned and objects. Recites names he has learned on flash cards when he sees the same

	on computer game or video.
No response to request for gestures.	Responds to all kinds of things such as, "blow a kiss", "Wave bye bye", "Say bye bye", etc. Will often now spontaneously say these things himself.
No interest in drawing	Wants to draw constantly. Will draw complete face and name the parts as he draws.
Did not imitate commands.	Will imitate almost any multi-step command.
Minimal eye contact	Eye contact 75% of the time.

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Biomedical changes were also measured in the patient. SPECT scan of the patient indicated that the perfusion of the right posterior parietal and right temporal lobes was improved.

5 Blood tests taken after the treatment also indicated a rise in serotonin levels, and the patient's rubella titers dropped from (0 7 (1) 5.8 to 2.3.

Although the behavioral improvements continued, the rate of the patient's progress appeared to decrease at about 5 weeks. the request of the patient's parents, a second infusion of secretin was performed about 9 months after the first infusion, and a third infusion of secretin was performed about three months The second and third infusions of after the second infusion. secretin achieved the same results in the patient.

15 EXAMPLE 2

At the time of this treatment, the patient was about 4 years old. Secretin was administered transdermally using pharmaceutical grade dimethyl sulfoxide (DMSO) (generally 99.9% pure) available from Clinic Service Co., Box 2512, Hemet CA 92543. The secretin (Secretin-Ferring) was administered daily in a dosage of about 75 CU over a five day period (i.e., about 15 CU daily). For each treatment, about 4 drops of DMSO were placed onto the skin of the

- 1 patient, about 15 CU of the crystalline secretin was placed onto
- 2 the DMSO, and the composition was rubbed into the skin.
- 3 The administration of secretin transdermally on a daily basis
- 4 in this way has resulted in even more dramatic and significant
- 5 improvements in the patient. Within a period of about 6 months,
- 6 the patient has progressed to spontaneous and conversational
- 7 language. When the daily dose of secretin is stopped, the
- 8 autistic behavioral symptoms return.
- 9 It is important to note that similar results have been seen
- 10 in numerous other autistic children using an intravenous
- 11 administration of secretin in accordance with the teachings of the
- present invention, in order to validate the findings of the
- []3 present invention.
  - Although the present invention is not limited by theory, it
  - 15 is believed that some autistic spectrum disorders are caused by a
- secretin deficiency resulting in a dysfunction of the pancreas.
- 7 One function of the hormone secretin is to stimulate the pancreas
  - to release bicarbonate and water, which change the pH in the qut
- 19 from acid to alkaline, thereby facilitating the action of
  - 20 digestive enzymes. The gastrointestinal disorders, such as an
  - 21 inability to digest gluten and casein, in autistic patients is
- 22 possibly caused by this failure of the pancreas to release
- 23 enzymes.

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- One possibility is that abnormal opioid peptides in the gut
- 25 create problems in the brain. These abnormal opioid peptides have
- 26 been found to diminish on a casein free and gluten free diet.
- 27 According to one study, autistic children that responded to this
- 28 diet were given an infusion of secretin and the peptides were

- 1 measured before and after the secretin infusion. After the
- 2 secretin infusion, which stimulates the pancreas to release
- 3 bicarbonate, the peptides disappeared.
- 4 The gastric inflammation observed in the patient in the above
- 5 EXAMPLE 1 suggests that the improper pH resulting from this
- 6 dysfunction of the pancreas may be a cause of the digestive
- 7 problems and malabsorption of essential minerals and nutrients
- 8 found in many individuals with autism. The unusual secretion by
- 9 the pancreas in response to the secretin, as observed in EXAMPLE
- 10 1, further suggests that this dysfunction of the pancreas is
- 11 caused by a secretin deficiency.

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- addition to this effect on the digestive function, secretin also appears to improve the abnormal brain activity in individuals having symptoms of autism. The increased blood flow in the brain detected during a SPECT scan after administering secretin in EXAMPLE 1 supports this theory. While causing pancreatic secretions, secretin also stimulates the production of cholecystokinin (CCK). Deficiencies in CCK have been linked to other neurological disorders, such as schizophrenia, production has been found to be related to levels of neurotransmitter serotonin. Thus, secretin may be indirectly related to the body's natural production of serotonin. The increase in serotonin levels in the blood after the procedure in secretin supports this relationship between EXAMPLE serotonin.
- Without proper modulation of neurotransmitter levels (i.e.,
- 27 serotonin) in the brain, the brain will not function properly.
- 28 The inability to modulate neurotransmitter levels has been found

1 to be related to other neurological conditions as well as autism.

2 Thus, a secretin deficiency may cause an imbalance or improper

3 modulation of neurotransmitter levels that results in autistic

4 spectrum disorder or other neurological disorders. Administering

secretin to patients with these disorders will modulate the

neurotransmitter levels and correct the behavioral symptoms, such

7 as the inability to process language and other maladaptive

8 behavioral patterns. The secretin may also correct abnormalities

9 in immune system functions, as indicated by the reduction of

10 measles, mumps and rubella antibodies in the patient after the

secretin administration in EXAMPLE 1. Secretin has also been

found to stimulate dopamine production through its precursor,

tyrosine hydroxylase. Dopamine levels have been implicated in a

variety of mental and behavioral disorders such as Parkinson's and

Alzheimer's disease.

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A secretin deficiency can therefore account for the gastrointestinal disorders as well as the behavioral symptoms found in many individuals with autistic spectrum disorder.

The therapeutic possibilities of the use of secretin appear

20 to have been overlooked in the medical literature. For example,

21 Guyton and Hall, in their widely used <u>Textbook of Medical</u>

22 Physiology (9th edition, 1995-1997) mention briefly in passing

23 that secretin can increase cellular utilization of insulin.

24 Recent research suggests that insulin is required for normal brain

25 functioning. (See also <u>Science</u> vol. 280 April 24, 1998, p. 517-

26 518). Furthermore, immunological disorders related to abnormally

27 high levels of measles, mumps, and rubella (MMR) titers may also

- 1 be treatable with secretin. Additionally, secretin is believed to
- 2 stimulate antibodies to cows milk protein (and perhaps other
- 3 proteins). Autism and other PDD's may be connected to protein
- 4 intolerance and secretin may increase the body's tolerance to such
- 5 protein(s). Secretin may also have histamine blocking
- 6 capabilities.
  - 7 Although the above examples use Secretin-Ferring, the present
  - 8 invention contemplates other forms of natural or synthetic
  - 9 secretin. The present invention also contemplates using other
- 10 types of transdermal carrier substances in addition to DMSO.
- 11 Further, the present invention contemplates other alternative ways
- 12 of administering secretin including, but not limited to,
- administering secretin transdermally with a gel, lotion or patch;
- 4 administering secretin with a suppository; administrating
- 5 secretin orally, as tablet, capsule or lozenge; administrating
- 16 secretin by inhalation (e.g., as an aerosol) either through the
- mouth or the nose. Such alternative methods of administering
- 118 secretin are less invasive, do not have to be carried out by a
- 13 doctor at a medical facility, and are less expensive. In
- 20 addition, the level or dose of administration of secretin can be
- 21 varied from those examples stated herein including, for example,
- 22 intraveneous administration over a period of time of several
- 23 hours instead of several minutes and/or a smaller, maintenance or
- 24 daily dose administered intramuscularly, transdermally or by
- 25 other methods as disclosed herein or their equivalent.
- 26 A further alternative method of transdermally administering
- 27 secretin includes the use of acoustic waves to permeate the skin.
- 28 For example, acoustic waves generated using ultrasound or a

- 1 shockwave from a pulsed laser have been found to make the skin
- 2 temporarily permeable. A few minutes of low-frequency ultrasound
- 3 (sound greater in frequency than 20 kilohertz) creates tiny
- 4 cavities through which the secretin (alone or combined with
- 5 another transdermal carrier substance) can be diffused.
- Accordingly, the method of treating autism by
- 7 administering secretin and/or causing the body to naturally
- 8 secrete required amounts of secretin corrects the secretin
- 9 deficiency, improving the digestive functions in autistic patients
- 10 previously experiencing intestinal difficulties and improving
- 11 communication, cognition, and socialization capabilities of
- autistic patients. Since other neurological disorders, such as
- depression, obsessive-compulsive disorder, Alzheimer's, allergies,
- anorexia, bulimia, schizophrenia, also involve abnormal modulation
- of neurotransmitter levels, these disorders may also be treatable
- \*16 with secretin. Further, other disorders related to serotonin and
- dopamine may also be treatable with secretin.
- Modifications and substitutions by one of ordinary skill in
- the art are considered to be within the scope of the present
- 20 invention which is not to be limited except by the claims which
- 21 follow.

.22 What is claimed is: